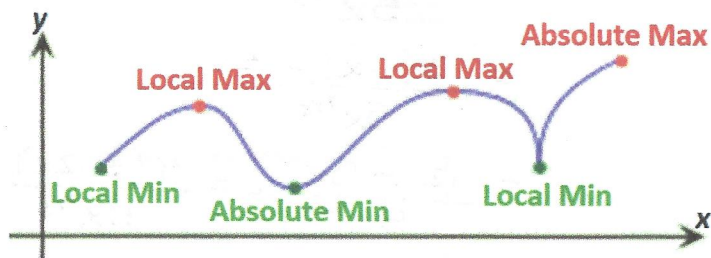


## § 4.1 &amp; § 4.2—Student Notes—Using the First and Second Derivatives

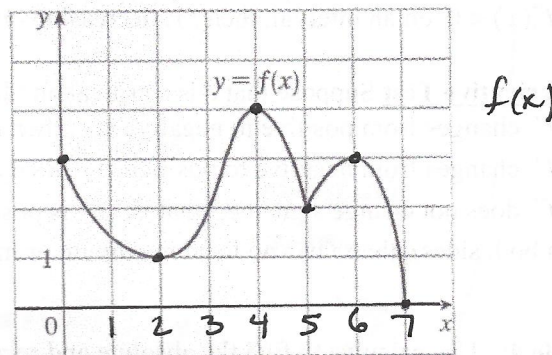
**Definition** A function  $f$  has an **absolute maximum** (or **global maximum**) at  $c$  if  $f(c) \geq f(x)$  for all  $x$  in  $D$ , where  $D$  is the domain of  $f$ . The number  $f(c)$  is called the **maximum value** of  $f$  on  $D$ . Similarly, the function  $f$  has an **absolute minimum** (or **global minimum**) at  $c$  if  $f(c) \leq f(x)$  for all  $x$  in  $D$  and the number  $f(c)$  is called the **minimum value** of  $f$  on  $D$ . The maximum and minimum values of  $f$  are called the **extreme values** of  $f$ .

**Definition** A function  $f$  has a **local maximum** (or **relative maximum**) at  $c$  if  $f(c) \geq f(x)$  when  $x$  is near  $c$ . [This means that  $f(c) \geq f(x)$  for all  $x$  in some open interval containing  $c$ .] Similarly, the function  $f$  has a **local minimum** at  $c$  if  $f(c) \leq f(x)$  when  $x$  is near  $c$ .



**Example 1:** Use the graph to state the absolute and local max/min values

$$\begin{aligned} \text{ABS. MAX} & f(4) = 4 \\ \text{ABS. MIN.} & f(7) = 0 \\ \text{REL. MAX} & f(4) = 4, f(6) = 3 \\ \text{REL. MIN} & f(2) = 1, f(5) = 2 \end{aligned}$$



**Example 2:** Describe the maximum and minimum, local and absolute, for the following functions:

a.  $f(x) = \cos x$

LOCAL & ABS MAX of 1.

infinitely many times at  $x = 2\pi k$

LOCAL & ABS MIN of -1

infinitely many times at  $x \in \{\pi\} + 2\pi k$

c.  $f(x) = x^3$

NO MAX & NO MIN

TERRACE POINT @  $(0, f(0)) = (0, 0)$

b.  $f(x) = x^2$

LOCAL & ABS MIN  $f(0) = 0$

NO MAX.

d.  $f(x) = |x|$

LOCAL & ABS MIN  $f(0) = 0$

NO MAX.

**Definition** A critical number of a function  $f$  is a number  $c$  in the domain of  $f$  such that either  $f'(c) = 0$  or  $f'(c)$  does not exist (DNE).

**Theorem** If  $f$  has a local maximum or minimum at  $c$ , and if  $f'(c)$  exists, then  $f'(c) = 0$ .

**ANSWERS**

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**Example 3:** Find the critical numbers of  $f(x) = x^{\frac{3}{5}}(4-x)$ .

$$\begin{aligned}
 f'(x) &= \frac{3}{5}x^{-\frac{2}{5}}(4-x) + x^{\frac{3}{5}}(-1) \\
 &= \frac{3(4-x)}{5x^{\frac{2}{5}}} + \frac{-x^{\frac{3}{5}}}{1} \\
 &= \frac{3(4-x) - 5x}{5x^{\frac{2}{5}}} \\
 &= \frac{12 - 8x}{5x^{\frac{2}{5}}} = \frac{4(3-2x)}{5x^{\frac{2}{5}}}
 \end{aligned}$$

CRITICAL POINTS

- $f'(x) = 0$  when  $3-2x = 0$   
 $x = \frac{3}{2}$
  - $f'(x)$  undefined when  $5x^{\frac{2}{5}} = 0$   
 $x = 0$
- $\therefore$  C.P.  $x = 0, \frac{3}{2}$

Increasing/Decreasing Test

- (a) If  $f'(x) > 0$  on an interval, then  $f$  is increasing on that interval
- (b) If  $f'(x) < 0$  on an interval, then  $f$  is decreasing on that interval

First Derivative Test Suppose that  $c$  is a critical number of a continuous function  $f$ .

- (a) If  $f'$  changes from positive to negative at  $c$ , then  $f$  has a local maximum at  $c$ .
- (b) If  $f'$  changes from negative to positive at  $c$ , then  $f$  has a local minimum at  $c$ .
- (c) If  $f'$  does not change sign at  $c$ , (that is,  $f'$  is positive on both sides of  $c$  or negative on both sides), then  $f$  has no local maximum or minimum at  $c$ .

**Example 4:** Use calculus to find the absolute and relative minimum and maximum values of the function

$f(x) = \frac{\ln x}{x}$ , on  $[1, 3]$  then check your results using your calculator.  
*restricted domain*

$$f'(x) = \frac{x \cdot \frac{1}{x} - \ln x}{x^2}$$

$$f'(x) = \frac{1 - \ln x}{x^2}$$

CRITICAL POINTS

$f'(x) = 0 \rightarrow x = e$   
 $f'(x) \text{ und} \rightarrow x = 0$



TABLE  $\rightarrow$  CLASSIFY

$x$	$f(x)$
1	0
$e$	$\frac{1}{e} \approx 0.368$
3	$\frac{1}{3} \ln 3 \approx 0.366$

$f(1) = 0$  is ABS. MIN

$f(e) = \frac{1}{e}$  is ABS. MAX. REL. MAX.

$f(3) = \frac{\ln 3}{3}$  is REL. MIN

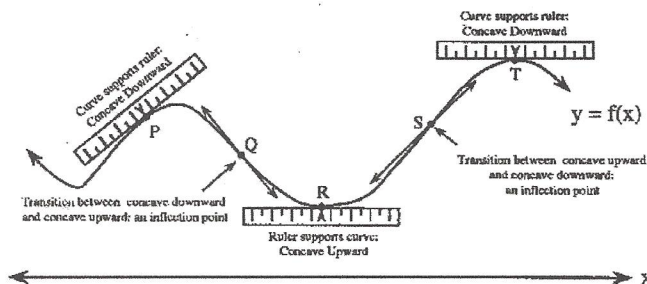
Definition If the graph of  $f$  lies above all of its tangents on an interval  $I$ , then it is called **concave upward** on  $I$ . If the graph of  $f$  lies below all of its tangents on an interval  $I$ , then it is called **concave downward** on  $I$ .

Concavity Test

- (a) If  $f''(x) > 0$  for all  $x$  on  $I$ , then the graph of  $f$  is concave upward on  $I$ .
- (b) If  $f''(x) < 0$  for all  $x$  on  $I$ , then the graph of  $f$  is concave downward on  $I$ .

**Test for Concavity:**

- A function --- is concave up when  $f''(x) > 0$
- is concave down when  $f''(x) < 0$
- has no concavity when  $f''(x) = 0$
- may have a possible point of inflection if  $f''(x) = 0$ .
- will have a point of inflection if  $f''(x) = 0$  and changes signs.



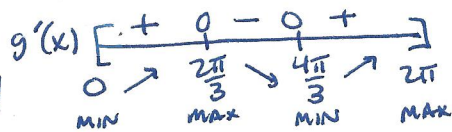
**Second Derivative Test** Suppose  $f''$  is continuous near  $c$ .

(a) If  $f'(c) = 0$  and  $f''(c) > 0$ , then  $f$  has a local minimum at  $c$ .

(b) If  $f'(c) = 0$  and  $f''(c) < 0$ , then  $f$  has a local maximum at  $c$ .

**Example 5.** Given  $g(x) = x + 2 \sin x$   $0 \leq x \leq 2\pi$ , use the second Derivative Test to find the relative extrema and then find the intervals concavity, points of inflection, and use the information to sketch the curve.

$g'(x) = 1 + 2 \cos x$   
 $g'(x) = 0 \implies \cos x = -\frac{1}{2}$   
 $x \in \{\frac{2\pi}{3}, \frac{4\pi}{3}\} + 2\pi k$



$g''(x) = -2 \sin x$

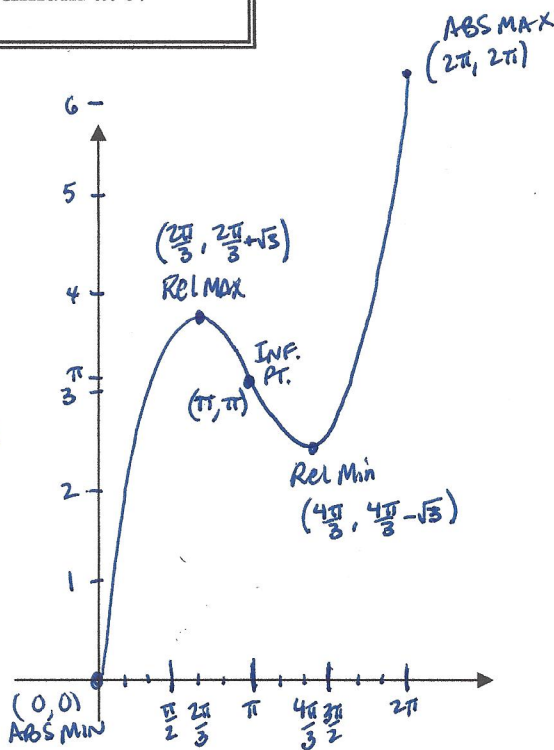
$g''(x) = 0 \implies x \in \{0, \pi\} + 2\pi k$



TABLE

x	g(x)
0	0 ABS MIN
$\frac{2\pi}{3}$	$\frac{2\pi}{3} + \sqrt{3} \approx 3.926$ Rel MAX
$\frac{4\pi}{3}$	$\frac{4\pi}{3} - \sqrt{3} \approx 2.457$ Rel MIN
$2\pi$	$2\pi \approx 6.283$ ABS MAX.

Inflection Point:  
 $(\pi, \pi)$  b/c  
 $g''$  changes sign  
 $\ominus \rightarrow \oplus$  at  $x = \pi$ .



**Example 6:** Given  $g(x) = x + 2 \sin x$   $0 \leq x \leq 2\pi$  find the intervals concavity, points of inflection, and use the intervals of increasing/decreasing and local maxima and minima to sketch the curve.

- $g(x)$  is increasing on  $(0, \frac{2\pi}{3}), (\frac{4\pi}{3}, 2\pi)$  b/c  $g'(x) > 0$ .
- decreasing on  $(\frac{2\pi}{3}, \frac{4\pi}{3})$  b/c  $g'(x) < 0$ .
- concave up on  $(\pi, 2\pi)$  b/c  $g''(x) > 0$ .
- concave down on  $(0, \pi)$  b/c  $g''(x) < 0$ .

2nd DERIVATIVE TEST TO JUSTIFY EXTREMA  $\rightarrow$  [Evaluate  $g''(x)$  for critical points & make conclusion].

$g''(\frac{2\pi}{3}) = -2 \sin(\frac{2\pi}{3}) = -\sqrt{3} < 0$ . Since  $g''(\frac{2\pi}{3}) < 0$   $g$  is concave down at C.P.  $x = \frac{2\pi}{3}$  therefore  $g(\frac{2\pi}{3})$  is a maximum.

$g''(\frac{4\pi}{3}) = -2 \sin(\frac{4\pi}{3}) = +\sqrt{3} > 0$ . Since  $g''(\frac{4\pi}{3}) > 0$   $g$  is concave up at C.P.  $x = \frac{4\pi}{3}$  therefore  $g(\frac{4\pi}{3})$  is a minimum.